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Attorney Docket No.		500.41280X00	
First Inventor		ISHIHARA, SHINGO	
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PATENT APPLICATION (Only for new nonprovisional applications under 37 CFR 1 53(b)) Express Mail Label No. **APPLICATION ELEMENTS** Assistant Commissioner for Patents Box Patent Application ADDRESS TO: SEE MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status fif applicable, all necessary) See 37 CFR 1 27 Computer Readable Form (CRF) 3. X Specification b Specification Sequence Listing on: [Total Pages 40] CD-ROM or CD-R (2 copies), or -Descriptive title of the invention -Cross Reference to Related Applications paper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, c Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS -Brief Description of the Drawings (if filed) -Detailed Description Assignment Papers (cover sheet & documents(s)) -Claim(s) -Abstract of the Disclosure Power of Attorney 37 CFR 3 73(b) Statement Drawing(s) (35 U S C 113) (when there is an assignee) [Total Pages: 6] English Translation Document (if applicable) 5. Oath or Declaration [Total Pages: 4] Information Disclosure Copies of IDS Citations Newly executed (original or copy) Statement (IDS)/PTO-1449 Copy from a prior application (37 CFR 1 63 (d)) 13 Preliminary Amendment (for continuation/divisional with Box 18 completed) 14. Return Receipt Postcard (MPEP 503) DELETION OF INVENTOR(S) (Should be specifically itemized) Signed statement attached deleting inventor(s) 15 Certified Copy of Priority Document(s) Named in the prior application, see 37 CFR (if foreign priority is claimed) 1 63(d)(2) and 1 33(b) 16. Nonpublication Request under 35 U S C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 6. Application Data Sheet See 37 CFR 1 76 or its equivalent Other: INF. DISCL SHEET UNDER 37 CFR 1.56(a)w/REFS., CREDIT CARD PAYMENT FORM, FIGS. 1A-B.2A-B.3,4.5A-B.6A-B 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No Prior application information Examiner. Group Art Unit. \_ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 020457 Customer Number or Bar Code Label or Correspondence address below (Insert Customer No. or Attach bar code label here) Name ANTONELLI, TERRY, STOUT & KRAUS, LLP Address City State Zıp Code Country Telephone (703) 312-6600 Fax (703) 312-6666 Name Carl I. Brundidge Registration No (Attorney/Agent) 29,621

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TOTAL AMOUNT OF PAYMENT (\$) 1002.00 Attorney Docket No. 500.41280X00 METHOD OF PAYMENT FEE CALCULATION (continued) 1. 

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Payment Enclosed: 139 130 139 130 Non-English specification ☐ Check ☐ Credit Card ☐ Money Order ☐ Other 147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920\* FEE CALCULATION 112 Requesting publication of SIR prior to Examination action 2. BASIC FILING FEE 113 1.840\* 113 1,840\*Requesting publication of SIR after Large Entity **Small Entity** Examiner action Fee Fee Fee Fee Fee Description Fee Paid 115 110 215 55 Code Extension for reply within first month (\$) Code (\$) 740 101 201 370 Utility filing fee 740.00 116 400 216 200 Extension for reply within second month 106 330 206 165 Design filing fee 117 920 217 460 Extension for reply within third month 107 510 207 255 Plant filing fee 118 1.440 218 720 Extension for reply within fourth month 108 740 208 370 Reissue filing fee 128 1.960 228 980 Extension for reply within fifth month 114 160 214 80 Provisional filing fee 119 320 219 160 Notice of Appeal 120 320 220 Filing a brief in support of an appeal 740.00 SUBTOTAL (1) 121 280 221 Request for oral hearing 1. EXTRA CLAIM FEES 138 1.510 138 1,510 Petition to institute a public use proceeding Fee from 140 110 240 55 Petition to revive - unavoidable Extra Claims below Fee Paid 141 1,280 241 Total Claims 23 -20\*\* = 3 640 Petition to revive - unintentional x 18.00 = 54.00 142 1 280 242 640 Utility issue fee (or reissue) Indep. Claims 5-3\*\* × 84.00 = 2 = 168.00 143 460 243 230 Design issue fee Multiple Dependent 144 0.00 620 244 **= 0.00** 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner Large Entity Small Entity 123 50 123 50 Processing fee under 37 CFR 1.17(q) Fee Fee Description Fee 126 180 126 Code (\$) Code 180 Submission of Information Disclosure Stmt 103 18 203 Claims in excess of 20 581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00 102 84 202 42 independent claims in excess of 3 146 740 246 For each additional invention to be 370 examined (37 CFR § 1.129(a)) 104 280 204 140 Multiple dependent claim, if not paid 149 740 249 370 For each additional invention to be 109 84 209 42 examined (37 CFR § 1 129(b)) \*\* Reissue independent claims over original patent 179 740 279 370 Request for Continued Examination (RCE) 110 169 900 169 18 210 \*\* Reissue claims in excess of 20 Request for expedited examination and over original patent of a design application SUBTOTAL (2) \$ 222.00 Other fee (specify) \*\*or number previously paid, if greater, For Reissues, see above \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

SUBMITTED BY	Complete (if applicable)			
Name (Print/Type)	Carl I. Brendidge	Registration No. (Attorney/Agent) 29,621	Telephone	703-312-6600
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- 1 -

# ORGANIC LIGHT EMITTING ELEMENT AND DISPLAY DEVICE USING ORGANIC LIGHT EMITTING ELEMENT

#### BACKGROUND OF THE INVENTION

The present invention relates to an organic light-emitting element and an organic light-emitting display using the same.

With the advent of a full-fledged multimedia age, growing attention is being focused on a flat-panel display as a man-machine interface.

A liquid crystal display has been used as the flat-panel display. The liquid crystal display,

10 however, has problems such as narrow angles of visibility and low responses.

In recent years, an organic
electroluminescent display is being spotlighted as a
next-generation flat-panel display. This light
emitting display has excellent characteristics, such as
self-luminescence, wide angle of visibility and fast
response characteristics.

Conventional organic light-emitting devices have the following structure. On a glass substrate are formed a first transparent electrode such as ITO, a light-emitting layer such as an organic hole transport layer, an organic light-emitting layer and an organic electron transport layer, and a second electrode with a low work function.

25 A voltage of a few volts is applied between

the electrodes to inject holes and electrons into the respective electrodes, which, through the transport layers, combine to produce excitons. When they return to their ground states, the excitons produce light.

5 The emitted light passes through the first transparent electrode and then is extracted from the substrate side.

Refractive indices of the organic layers, the first transparent electrode and the glass substrate are around 1.6, 2.0 and 1.5, respectively. Hence, there are critical angles at boundary surfaces between the first transparent electrode and the glass substrate and between the glass substrate and an air layer into which the outgoing light enters. The critical angle refers

15 to an angle between the incident light and the normal of the substrate at and beyond which the light does not pass the boundary at all and a total reflection occurs. Hence, in the conventional structure, the light extraction efficiency is low and only about 20% of the produced light can be extracted.

There are two types of organic electroluminescent displays, a simple matrix display and an active matrix display.

The simple matrix display has organic layers,

such as a hole transport layer, a light-emitting layer

and an electron transport layer, formed at

intersections between a plurality of anode lines and

cathode lines. Each of the pixels lights up for only a

selection time in one frame period. The simple matrix displays have an advantage of being simple in construction.

When the number of pixels increases, however,

the selection time decreases. This requires increasing
a drive voltage to increase the instantaneous
brightness, which in turn causes another problem of
reducing the longevity of the organic
electroluminescent devices. Further, since the organic
electroluminescent devices are current-driven, wiring
resistances cause voltage drops in a large displayscreen, making it difficult to realize uniform
qualities among pixels. It follows therefore that
there is a limit in the simple matrix displays to the
ability to increase the resolution and the size of
screen.

In the active matrix displays, each organic electroluminescent device that forms a pixel is connected with a drive cell made up of between two and four thin-film transistor switching devices and thus can light up for a full period of one frame. Thus there is no need to increase the brightness, which in turn extends the lives of the organic electroluminescent devices.

As described earlier, the conventional organic electroluminescent devices extract emitted light from the substrate side and thus the aperture ratio is limited by the drive cells. To solve this

| Table | Ta

problem, it has been attempted to make the upper electrode transparent and extract the emission from the upper electrode side.

U.S. Patent No. 5,703,436 discloses an

organic electroluminescent device in which the upper electrode is of a two-layered structure, with the first layer used as an injection layer of Mg or Ag and the second layer using a transparent electrode of, for example, ITO (Indium Tin Oxide) and with the emitted light extracted from the upper electrode.

JP-A-6-163158 discloses an organic electroluminescent device comprising an electron injection layer made of a transparent alkaline earth metal oxide and a transparent cathode material.

# 15 SUMMARY OF THE INVENTION

necessary to form in the organic electroluminescent element a medium which has a refractive index close to that of a final medium into which the light enters. In that case, the final medium is an air layer and its refractive index is 1. However, when a layer with a refractive index of 1 is formed in the element, the total reflection occurs again at its boundary surface, degrading the light extraction efficiency.

In the organic electroluminescent element which extracts light from the second electrode side, the transparent electrode needs to be formed above the

organic layer. The transparent electrode when it is formed causes damages to the organic layer, which is not the case with a metal electrode. Hence, the organic electroluminescent element has a degraded characteristic. It is therefore necessary to study a construction instrumental to enhancing the efficiency.

A first object of the present invention is to provide an organic light-emitting element or organic light-emitting display in which the light produced

10 inside can be projected into the air layer with a refractive index of 1 without degrading the light extraction efficiency due to total reflection.

A second object of the present invention is to provide an organic light-emitting element or organic light-emitting element or organic light-emitting display with a counter substrate, in which the light produced inside can be projected into the air layer with the refractive index of 1 without degrading the light extraction efficiency due to total reflection.

A first aspect of the present invention provides an organic light-emitting element comprising: at least a first electrode, an organic layer, a second electrode and a passivation layer, all formed on a substrate; wherein a distance d from a light-emitting area in the organic layer to an air layer into which produced light enters satisfies an equation:

 $d \le \lambda/4$  ( $\lambda$ : center wavelength of emitted light)

10

Another aspect of the present invention provides an organic light-emitting element that has a light extraction layer between an organic electroluminescent substrate and a counter substrate.

The light extraction layer is characterized in that it is formed from a material with a refractive index close to 1. More specifically, the light extraction layer is filled with an inert gas, such as  $N_2$ , He, Ne and Ar. The possible inert gas is not limited to these.

The counter substrate referred to above is intended to prevent  $H_2O$  and  $O_2$  in the atmosphere from getting into the second electrode or the organic layer beneath it in the organic electroluminescent substrate.

15 The counter substrate is also intended to extract the

- light produced in the organic electroluminescent substrate into the air layer with a refractive index of 1. It is therefore desired that the counter substrate be formed of a material with a high visible light
- transmissivity. More specifically, the material may include, but is not limited to, inorganic materials such as glass and sintered alumina, and a variety of insulating plastics such as polyimide films, polyester films, polyethylene films, polyphenylene sulfide films and polyparaxylene films.

Still another aspect of the present invention provides an organic light-emitting element comprising: an organic electroluminescent substrate having at least

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a first electrode, an organic layer and a second transparent electrode formed on a substrate; a counter substrate; and a light extraction layer between the organic electroluminescent substrate and the counter substrate; wherein a total thickness d of a layer ranging from a light-emitting area in the organic layer to the second transparent electrode satisfies the equation (1).

Still another aspect of the present invention

10 provides an organic light-emitting element that has a

light extraction layer and an auxiliary electrode for

the second transparent electrode, both provided between

the organic electroluminescent substrate and the

counter substrate.

Still another aspect of the present invention provides an organic light-emitting element that has a light extraction layer and an auxiliary electrode for the second transparent electrode, both provided between the organic electroluminescent substrate and the counter substrate, wherein a total thickness d of a layer ranging from a light-emitting area in the organic layer to the second transparent electrode satisfies the equation (1).

It is desired that a rib for controlling the
thickness of the light extraction layer be provided
between the organic electroluminescent substrate and
the counter substrate. It is also desired that the
thickness of the light extraction layer is more than

 $50 \mu m$ .

Further, it is desired that the rib be formed on the counter substrate and that it be formed of glass. The rib may be formed of a photo-curing resin.

Further, it is desired that the rib be formed on a sealing portion of the organic electroluminescent substrate and the counter substrate.

Further, it is desired that a color filter layer be formed between the organic electroluminescent substrate and the counter substrate and that it be formed on the counter substrate.

Further, it is desired that a moisture absorbing layer be formed around a portion sealing the organic electroluminescent substrate and the counter substrate.

Further, it is desired that the auxiliary electrode be formed between pixels formed on the counter substrate.

Further, it is desired that a bonding layer
20 be provided to bring the second transparent electrode
and the auxiliary electrode into ohmic contact with
each other.

Further, it is desired that the auxiliary electrode be formed between pixels and that it be formed on the second transparent electrode.

A further aspect of the present invention provides an organic light-emitting display using the organic light-emitting element.

The organic light-emitting display of this invention should preferably have a construction in which the organic light-emitting elements corresponding to red, green and blue are arranged in each pixel and in which the auxiliary electrode is arranged on a part of the organic light-emitting element with a high efficiency or a long life. It is preferred that the second electrode use an ultra-thin metal film with a high transmissivity.

The organic light-emitting element referred to above has a construction in which a first electrode, a first injection layer, a first transport layer, a light-emitting layer, a second transport layer, a second injection layer, a second transparent electrode, a passivation layer, or a counter substrate is formed over the substrate.

There are largely two constructions of the organic light-emitting element.

The first construction is one in which the

20 first electrode is an anode and the second transparent
electrode is a cathode. In this case, the first
injection layer and the first transport layer are a
hole injection layer and a hole transport layer,
respectively. The second transport layer and the

25 second injection layer are an electron transport layer
and an electron injection layer, respectively.

The second construction is one in which the first electrode is a cathode and the second transparent

electrode is an anode. In this case, the first injection layer and the first transport layer are an electron injection layer and an electron transport layer, respectively. The second transport layer and the second injection layer are a hole transport layer and a hole injection layer, respectively.

The constructions described above may not have the first injection layer or the second injection layer. Further, the first transport layer or the second transport layer may serve as a light-emitting layer.

The anode is preferably a conductive film with a large work function that can enhance the hole injection efficiency. More specifically, the materials of the anode may include, but are not limited to, gold and platinum.

Among other possible materials are an  $\rm In_2O_3-SnO_2-based$  transparent conductive film and an  $\rm In_2O_3-ZnO-based$  transparent conductive film. Particularly, the  $\rm In_2O_3-SnO_2-based$  transparent conductive film is used for the pixel electrodes in liquid crystal displays. The processing methods for the transparent materials include a sputtering process and an EB deposition method.

The work functions for both the  $\rm In_2O_3-SnO_2-$  based transparent conductive film and the  $\rm In_2O_3-ZnO-$  based transparent conductive film are 4.6 eV. This can be increased up to 5.2 eV by using UV radiation and

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oxygen plasma processing.

In the  ${\rm In_2O_3-SnO_2-based}$  transparent conductive film, sputtering with the substrate temperature elevated to around 200°C results in a polycrystalline state. In the polycrystalline state, the etch rate within the grains differ from that at the grain boundaries, and thus an amorphous state is desirable.

The provision of the hole injection layer obviates the need to use a material with a large work

10 function for the anode, and an ordinary conductive film may be used. Candidate materials include, for example, metals, such as aluminum, indium, molybdenum and nickel, their alloys, and inorganic materials such as polysilicon, amorphous silicon, tin oxide, indium

15 oxide, indium—tin oxide (ITO).

Organic materials and conductive inks such as polyaniline and polythiophene are desirable which can be applied with a simple application method in the manufacturing process. Materials are not limited to these. It is also possible to use two or more of these materials in combination.

For the hole injection layer, desirable materials should have an appropriate level of ionization potential to lower the injection barriers of the anode and the hole transport layer. It is also preferred that the selected material be able to smooth out the rough surface of the base layer. More specifically, the possible materials include, but are

not limited to, copper phthalocyanine, starburst amine compound, polyaniline, polythiophene, vanadium oxide, molybdenum oxide, ruthenium oxide and aluminum oxide.

The hole injection layer has a role of

5 carrying holes and injecting them into the lightemitting layer. Hence, it preferably has a high hole
mobility. It is also desired that the hole injection
layer be chemically stable and low in ionization
potential. It preferably has a small electron affinity

10 and a high glass transition temperature.

More specifically, the hole injection layer is preferably formed of N,N-bis(3-methylphenyl)-N,N-diphenyl-[1,1-biphenyl]-4,4-diamine (TPD), 4,4-bis[N-(1-naphthyl)-N-phenylamino]biphenyl( $\alpha$ -NPD), 4,4,4-

tris[N-(4-diphenylaminophenyl)phenylamino] benzene(p-DPA-TDAB). The material is not limited to these and two or more of them may be used in combination.

The light-emitting layer refers to a layer in
which the injected holes and electrons recombine to
produce light of a wavelength unique to the material.
A host material itself that forms the light-emitting
layer may illuminate or a dopant material added in a
minute amount to the host may emit light.

Possible host materials include
distyrylarylene derivative (DPVBi), silole derivative
having a benzene ring as a skeleton (2PSP), oxiodiaxole
derivative having triphenylamine structure at ends

(EM2), perinone derivative having phenanthrene group, oligothiophene derivative having triphenylamine structure at both ends (BMA-3T), perylene derivative (tBu-PTC), tris(8-quinolinole) aluminum,

5 polyparaphenylene vinylene derivative, polythiophene derivative, polyparaphenylene derivative, polysilane derivative, and polyacetylene derivative.

Preferred dopant materials include
quinacridone, coumarin 6, nile red, rubrene, DCM,

10 dicarbazole derivative. The dopants are not limited to
these materials and two or more of them may be used in
combination.

The electron transport layer mentioned above has a role of carrying electrons and injecting them

15 into the light-emitting layer. It is therefore desirable that it has a high electron mobility. More specifically, the electron transport layer is preferably formed from, but not limited to, tris(8-quinolinole) aluminum, oxodiazole derivative, silole

20 derivative, and zinc benzothiazole complex. Two or more of these materials may be used in combination.

The electron injection layer is designed to improve the efficiency of injecting electrons from the cathode into the electron transport layer. This injection layer is preferably formed from, but not limited to, lithium fluoride, magnesium fluoride, calcium fluoride, strontium fluoride, barium fluoride, magnesium oxide, and aluminum oxide. Two or more of

25

them may be used in combination.

The cathode preferably is a conductive film with a small work function for enhancing the electron injection efficiency. More specifically, the cathode preferably is formed from, but not limited to, magnesium-silver alloy, aluminum-lithium alloy, aluminum-calcium alloy, aluminum-magnesium alloy, and metal calcium.

The provision of the electron transport layer

10 obviates the need for a material with a small work

function for the cathode, and an ordinary conductive

film may be used. Candidate materials include, for

example, metals, such as aluminum, indium, molybdenum

and nickel, their alloys, and inorganic materials such

15 as polysilicon and amorphous silicon.

In this invention, when the cathode is used as the second transparent electrode, it is desired that an electron injection layer be provided beneath the cathode. The provision of the electron injection layer allows the use of a transparent conductive film with a low work function. More specifically, possible materials include an In<sub>2</sub>O<sub>3</sub>-SnO<sub>2</sub>-based transport conductive film and an In<sub>2</sub>O<sub>3</sub>-ZnO-based transparent conductive film. Particularly, the In<sub>2</sub>O<sub>3</sub>-SnO<sub>2</sub>-based transport conductive film is used for pixel electrodes in liquid crystal displays. The manufacturing processes for the transparent electrode include a sputter method and an EB deposition method.

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The passivation layer in this invention is formed on the second electrode and intended to prevent H<sub>2</sub>O and O<sub>2</sub> in the atmosphere from getting into the second electrode or the organic layer beneath it. 5 specifically, the materials for the passivation layer may include, but are not limited to, inorganic materials such as SiO<sub>2</sub>, SiNx and Al<sub>2</sub>O<sub>3</sub>, and organic materials such as polychloropyrene, polyethylene terephthalate, polyoxymethylene, polyvinylchloride, 10 polyvinyliden fluoride, cyanoethyl pullulan, polymethyl methacrylate, polysulfone, polycarbonate, and polyimide.

The light-emitting area refers to an area in the light-emitting layer that produced light. The 15 holes and electrons injected into the light-emitting layer recombine to produce excitons which diffuse in the light-emitting layer. The diffusion distance varies depending on the host material of the lightemitting layer and on the presence or absence of a dopant material. Thus, the distance d from the lightemitting area in the organic layer to the layer from which the light is projected out is defined as a distance from the boundary between the first transport layer and the light-emitting layer to the air layer.

When the distance d is equal to or less than 25 1/4 the center wavelength of the produced light, the light is not affected by the classic optics. Hence, there is no loss due to total reflection, improving the light extraction efficiency.

Application of the organic light-emitting display of this invention to the display portion of cellular phones can increase the quantity of light in the display portion to a level not possible with the conventional cell phones. Further, the use of this display reduces resistance and therefore power consumption.

Other objects, features and advantages of the invention will become apparent from the following description of the embodiments of the invention taken in conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a schematic cross-sectional view

15 of an organic light-emitting element according to an

embodiment 1 of the present invention.

Fig. 1B is a plan view of a pixel area of the organic light-emitting device of Fig. 1A.

Fig. 2A is a schematic cross-sectional view
20 of an organic light-emitting element according to an
embodiment 2 of the present invention.

Fig. 2B is a plan view of a pixel area of the organic light-emitting device of Fig. 2A.

Fig. 3 is a schematic cross-sectional view of an organic light-emitting display according to an embodiment 3 of the present invention.

Fig. 4 is a plan view of a pixel area of the

organic light-emitting display according to the embodiment 3.

Fig. 5A illustrates an arrangement of electrodes in an organic light-emitting element according to an embodiment 4 of the present invention.

Fig. 5B is a cross-sectional view taken along the line  $A-A^{\prime}$  of Fig. 5A.

Fig. 6A illustrates a structure of an organic light-emitting element according to an embodiment 5 of the present invention.

Fig. 6B is a cross-sectional view taken along the line B-B' of Fig. 6A.

# DESCRIPTION OF THE EMBODIMENTS

# [Embodiment 1]

Now embodiments of the organic light-emitting element according to the present invention will be described. Fig. 1A is a schematic cross-sectional view of an organic light-emitting element of this invention and Fig. 1B is a plan view showing a pixel area of the element.

On a glass substrate 101 is deposited an ITO film to a thickness of 150 nm by sputtering process. Next, a first transparent electrode 102 with an area of  $2x2 \text{ mm}^2$  is formed by photolithography.

The glass substrate 101 formed with the first transparent electrode 102 was subjected to three minutes of ultrasonic cleaning using acetone and pure

water in that order. After cleaning, the substrate was dried by a nitrogen gas and further dried in an oven at  $80^{\circ}\text{C}$ .

Next, the substrate was subjected to an O<sub>2</sub>

5 plasma cleaning with the plasma cleaning chamber set at a vacuum level of 3 Pa, an inflow of O<sub>2</sub> at 22 ml, an RF (radio frequency) power at 20 W, and a cleaning duration at 3 minutes. After the O<sub>2</sub> plasma cleaning, the substrate was placed in a vacuum deposition chamber without being exposed to open air.

Next, a copper phthalocyanine film

(hereinafter abbreviated CuPc film) was deposited to a thickness of 20 nm by vacuum deposition. About 20 mg of the material was put in a sublimate boat made of Mo and evaporated at a controlled deposition rate of 0.15±0.05 nm/sec. This CuPc film functions as an organic hole injection layer 103. Over the CuPc film 4,4-bis[N-(1-naphtyl)-N-phenylamino]biphenyl (hereinafter abbreviated α-NPD film) was formed to a thickness of 50 nm by vacuum deposition.

About 60 mg of the material was put in the sublimate boat made of Mo and evaporated at a controlled deposition rate of 0.15 $\pm$ 0.05 nm/sec. The  $\alpha$ -NPD film works as an organic hole transport layer 104.

Over the  $\alpha$ -NPD film a co-deposited film of tris (8-quinolinol) aluminum (hereinafter abbreviated Alq) and (quinacridone) (hereinafter abbreviated Qc) was formed to a thickness of 20 nm by a binary simultaneous vacuum

deposition.

About 40 mg of Alq and about 10 mg of Qc were put in two sublimate boats made of Mo and were evaporated at controlled deposition rates of 0.40±0.05 nm/sec and 0.01±0.005 nm/sec, respectively. The Alq+Qc co-deposited film functions as an organic light-emitting layer 105. Over this co-deposited film an Alq film was formed to a thickness of 20 nm by vacuum deposition.

About 40 mg of the material was put in a sublimate boat of Mo and evaporated at a controlled deposition rate of 0.15±0.05 nm/sec. The Alq film functions as an organic electron transport layer 106.

Next, a second transparent electrode 107 was formed. First, an alloy film of Mg and Ag was formed as an electron injection layer 109. This alloy film was deposited to a thickness of 10 nm by a binary simultaneous vacuum deposition with their deposition rates set to 0.14±0.05 nm/sec and 0.01±0.005 nm/sec, 20 respectively.

Next, an In-Zn-O film was formed to a thickness of 50 nm by sputtering process. This film is an amorphous oxide film that functions as a transparent electrode 110. A target used was In/(In+Zn) = 0.83.

25 The deposition was performed in the presence of a mixture gas of Ar and  $O_2$  at a vacuum level of 0.2 Pa and with a sputtering output of  $2W/cm^2$ . The second transparent electrode 107 made of a Mg:Ag/In-ZnO

laminated film functions as a cathode and its transmissivity was 65%.

Next, a SiNx film was formed to a thickness of 35 nm by a thermal CVD method. This film functions as a passivation film 108.

The organic light-emitting element of this embodiment illuminates at a central wavelength of 525 nm. The light emitting area is distributed almost uniformly over the organic light-emitting layer 105.

Hence, the total thickness d of a layer ranging from the light-emitting area to the outer surface of the passivation film 108 in contact with an air layer with a refractive index of n=1 is given as follows and satisfies the preceding equation (1).

For comparison, an organic light-emitting element with the transparent electrode 110 set to 150 nm in thickness was fabricated. This film thickness is 20 a commonly used thickness for the transparent electrode. Other layers were manufactured under the same conditions as those of this embodiment. The total thickness d of a layer ranging from the light-emitting area to the outer surface of the passivation film in 25 contact with the air layer 111 having a refractive index of n = 1 is given as follows and does not meet

137.5 nm (=  $\lambda/4$ ) < 215 nm  $\leq$  d  $\leq$  235 nm

the equation (1).

In the organic light-emitting elements of this embodiment and of the comparison example, when a voltage of 5V was applied between the first transparent electrode 102 and the second transparent electrode 107, 5 the pixel area 112 lighted up in green. With the organic light-emitting element slanted  $(\theta)$  with respect to the normal direction, measurements were made of the brightness of light emitted from the passivation film 108 by using a brightness photo meter. Both in this 10 embodiment and the comparison example, the brightness was 100 cd/m<sup>2</sup> for  $\theta=0^{\circ}$ .

As the element was slanted, the brightness in the comparison example decreased along a curve of a total diffusion surface whereas the brightness in this 15 embodiment remained almost constant. This is explained as follows. In the element of this embodiment, the total reflection did not occur for the outgoing light with an incident angle greater than the critical angle. Thus, the total amount of light from the organic lightemitting element of this embodiment increased to 1.5 that of the comparison example.

#### [Embodiment 2]

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Now, an organic light-emitting element of this embodiment having a counter substrate will be 25 explained. Fig. 2A is a schematic cross section showing the organic light-emitting element of this embodiment and Fig. 2B is a plan view of a pixel.

An organic electroluminescent substrate 204
is manufactured as follows. On a glass substrate 101
were formed a first transparent electrode 102, an
organic hole injection layer 103, an organic hole

5 transport layer 104, an organic light-emitting layer
105, an organic electron transport layer 106, and a
second transparent electrode 107. The fabrication
conditions are the same as those of the embodiment 1.
The organic light-emitting layer 105, the organic

10 electron transport layer 106 and the second transparent
electrode 107 were set in thickness to 20 nm, 20 nm and
60 nm, respectively.

Next, the organic electroluminescent substrate 204 was moved into a sealing chamber whose dew point was kept below -90°C and dried by circulating a dry nitrogen gas without being exposed to open air.

Then, a glass substrate was introduced into the sealing chamber. This glass substrate serves as the counter substrate 203. A seal dispenser was used to apply an optically cured resin 202 to edge portions of the glass counter substrate 203.

The seal width of the photo-cured resin 202 was set to 200  $\mu m$  . The photo-cured resin 202 was mixed with 1% by weight of glass beads 10  $\mu m$  in diameter.

25 The counter substrate 203 and the organic electroluminescent substrate 204 were bonded together under a pressing load of 0.5 kgf/cm² in the sealing chamber. On the outside of the counter substrate a

5

light shielding plate was arranged to protect the pixel area 112 from ultraviolet light. The ultraviolet light was radiated from the counter substrate side to harden the optically cured resin 202.

An alkali metal halide lamp was used to irradiate ultraviolet light at a radiation intensity of  $4000 \text{ mJ/cm}^2$  for four minutes.

A gap length between the organic electroluminescent substrate 204 and the counter

10 substrate 203 is 10 μm, which is determined by the diameter of the glass beads included in the optically cured resin 202. This gap is filled with a dry nitrogen gas with a refractive index of n=1. This layer is defined as a light extraction layer 201. The

15 total thickness d of a layer ranging from the lightemitting area of the organic light-emitting layer 105 to the surface of the second transparent electrode 107 in contact with the light extraction layer 201 is given as follows and its relation with the center wavelength

20 of emission meets the equation (1).

80 nm (= 20 + 60) 
$$\leq$$
 d  $\leq$  100 nm (= 20 + 20 + 60)  $<$  137.5 nm (=  $\lambda/4$ )

produced by the light-emitting area is not total
reflected at a boundary surface between the second

transparent electrode 107 and the light extraction

layer 201 even when its angle of incidence is more than
a critical angle, and is transmitted to the light

Therefore, as in the embodiment 1, the light

extraction layer 201.

When the light that has propagated into the light extraction layer 201 goes out from the counter substrate 203 into the air layer 111 with a refractive index of 1, there is no total reflection thus reducing the loss due to total reflection. As a result, the total amount of light produced by the organic lightemitting element of this embodiment has increased to 1.5 times that of the element of normal thickness.

# 10 [Embodiment 3]

In this embodiment, the organic lightemitting display with a counter substrate that can
display colors will be explained. Fig. 3 is a
schematic cross section of an organic light-emitting
display of this embodiment. Fig. 4 is a plan view of
pixels.

Stripes of first transparent electrodes 302, 302' are formed from an ITO film on the glass substrate 301. The manufacturing conditions are the same as

those of the embodiment 1, with the film thickness set to 140 nm, the stripe width to 100  $\mu m$  and the electrode-to-electrode distance to 120  $\mu m$  .

Over the first transparent electrodes 302, 302' a positive type photosensitive passivation film (JSR make: PC452) was formed by using a spin coat method at a coating rate of 1000 rpm/30 sec. The substrate was put on a hot plate and pre-baked at 90°C

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25

for two minutes.

Next, the substrate was exposed to a mixture of ghi lines through a photomask to form contact holes in the form of stripes. Next, by using a developer of JSR make (PD-523), the exposed image was developed at room temperature for 40 seconds and rinsed with running pure water at room temperature for 60 seconds. After rinsing, the substrate was post-exposed to light with a wavelength of 365 nm at an intensity of 300 mJ/cm² and then post-baked in a clean oven at 200°C for one hour.

The interlayer insulating film 311 formed of PC452 was 2  $\mu m$  thick and covered the edge portions of the ITO stripes to 20  $\mu m$  inside the edges.

Next, the glass substrate 301 formed with the first transparent electrode 302 and the interlayer insulating film 311 was cleaned. The cleaning method is similar to that used in the embodiment 1.

Then, an  $\alpha$ -NPD film 40 nm thick was formed by the vacuum deposition. About 50 mg of the material was put in a sublimate boat of Mo and evaporated at a controlled deposition rate of 0.15 $\pm$ 0.05 nm/sec.

This  $\alpha\text{-NPD}$  film functions as an organic hole transport layer 303. Over this layer was formed a film of tetrazole derivative 20 nm thick (hereinafter

25 abbreviated p-EtTAZ film) by vacuum deposition.

The material was placed in a sublimate boat of Mo and evaporated at a controlled deposition rate of 0.15 $\pm$ 0.05 nm/sec. This p-EtTAZ film functions as an

organic hole block layer 304.

Over this layer was formed an Alq film 10 nm thick by vacuum deposition. Approximately 10 mg of the material was put in a sublimate boat of Mo and evaporated at a controlled deposition rate of 0.15±0.05 nm/sec. This Alq film functions as an organic green light-emitting layer 305.

Next, a co-deposited film of Alq and Nile red (hereinafter abbreviated Nr) was formed to a thickness of 10 nm by a vacuum co-deposition from two separate sources.

About 10 mg of Alq and about 5 mg of Nr were put in two sublimate boats made of Mo and were evaporated at controlled deposition rates of 0.40±0.05 nm/sec and 0.01±0.005 nm/sec, respectively. The Alq+Nr co-deposited film functions as an organic red light-emitting layer 306.

Over this co-deposited film an Alq film was formed to a thickness of 10 nm by vacuum deposition.

20 About 10 mg of the material was put in a sublimate boat of Mo and evaporated at a controlled rate of 0.15±0.05 nm/sec. The Alq film functions as an organic electron transport layer 307.

Next, an electron injection layer 308 was

25 formed to a thickness of 10 nm and a transparent
electrode 309 to a thickness of 30 nm, thus forming a
second transparent electrode 310. The manufacturing
conditions are similar to those of the embodiment 1,

20

except for the film thickness. By using a shadow mask, the second transparent electrode 310 was formed into stripes at a pitch of 100  $\mu m$ , each measuring 200  $\mu m$  in width.

This organic electroluminescent substrate 312 was placed in the sealing chamber whose dew point was kept below -90°C, as in the second embodiment 2.

Next, on a glass substrate 318 was formed a rib 317 by using a photosensitive paste method. A 10 photosensitive paste containing a photosensitive organic component and inorganic powder was applied to the glass substrate 318 by a spin coat method and exposed to ultraviolet light through an emulsion mask and then developed. Next, it is baked to form the rib 317 20 µm thick.

Next, the substrate was sputtered with an aluminum film 150 nm thick and then an auxiliary electrode 315 was formed by photolithography. The electrode width is 60  $\mu m$  and the electrode-to-electrode distance is 300  $\mu m$ .

Then, color filters 316, 316' are formed on the glass substrate 318. The color filters were formed in stripes by etching and arranged in the order of red, green and blue.

Next, a high-precision dispenser (Musashi Engineering make: SMA-3A) was used to apply a photocured resin 314 containing fine metal particles onto the auxiliary electrode 315. The seal width of the

photo-cured resin is 20  $\mu m\,.$  With the above process complete, a counter substrate 319 is formed.

Next, before loading the counter substrate

319 into the sealing chamber, the optically cured resin
is degassed in vacuum for more than 10 minutes.

Next, the organic electroluminescent substrate 312 and the counter substrate 319 are bonded together in the following procedure. A CCD camera is used to adjust the positions of color filters so that

- they are aligned with the pixels. After the fine positional adjustment, these substrates are bonded together under a pressing load of 0.5 kgf/cm². With a light shielding mask placed on the counter substrate 319 to protect the pixel areas 323, 323' from
- 15 ultraviolet light, the optically cured resin 314 is hardened. The curing condition is the same as that of the embodiment 2.

In this invention, because of the rib 317, 20 µm high, formed on the counter substrate 319, a light extraction layer 313 filled with dry nitrogen with a refractive index of 1 is formed between the organic electroluminescent substrate 312 and the counter substrate 319.

The pixels 323, 323' on the organic 25 electroluminescent substrate 312 emit white rays of light 321, 321'. At the boundary between the  $\alpha$ -NPD and the P-EtTAZ, cyan light is produced; green light is produced in the Alq film; and red light is produced in

the Alq+Nr film. These colors are mixed together to form white rays.

The light originating from the light-emitting area farthest from the second transparent electrode 310 is cyan that is produced at the boundary surface between the  $\alpha$ -NPD and the P-EtTAZ. The distance d from the cyan light-emitting area ( $\lambda$  = 440 nm) to the light extraction layer 313 is given as follows and satisfies the equation (1).

Hence, as in the embodiment 1, no total reflection occurs at the boundary surface between the second transparent electrode 310 and the light

15 extraction layer 313 for the outgoing light with an incident angle greater than the critical angle. The produced light therefore propagates into the light extraction layer 313.

20 light extraction layer 313 is turned into single-color light of red, green and blue 322, 322' by color filters 316, 316' on the counter substrate 319. When they go out into the air layer 320 with a refractive index of 1, the total reflection is eliminated, reducing the 25 total reflection loss. Hence, the total quantity of light produced by the organic light-emitting element of this embodiment has increased to 1.5 times that of the

element of normal thickness.

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The provision of the auxiliary electrode 315 lowers the resistance to 1/1000 that of the construction having only the second transparent electrode 310, thus reducing power consumption.

# 5 [Embodiment 4]

Next, an example arrangement of the auxiliary electrode required by the embodiment 1 will be explained.

As shown in Fig. 5A, in the light-emitting

layers of R (red), G (green) and B (blue) for each

pixel, the auxiliary electrode 315 shown in the

embodiment 1 is arranged in a part of a sub-pixel. The

sub-pixel is intended to change the color of each

pixel. R, G and B are illuminated independently of

each other to produce a variety of colors.

For example, the area of a sub-pixel for G may be reduced to one-half. In that case, the brightness of G is doubled to keep the total quantity of light unchanged. Increasing the brightness level results in a power efficiency reduction of only about 10%.

Although this arrangement reduces the life of the G-OLED (green organic light-emitting diode) to one-half, the G-OLED itself originally has more than two times the life of R-OLED or B-OLED and there is no problem with the organic light-emitting display as a whole.

This arrangement considers the service longevities and emission efficiencies of the currently used materials. Comparison in the service life and emission efficiency between the R, G and B OLEDs shows that the life of the G-OLED is approximately two times longer than those of other OLEDs, R-OLED and B-OLED. This allows the auxiliary electrode to be located in a part of the G-OLED. A cross section along A-A' of Fig. 5A is shown in Fig. 5B.

Taking the light emission longevity and efficiency of each OLED into consideration as described above makes it possible to provide a practical organic light-emitting display.

#### [Embodiment 5]

An example case where the upper transparent electrode used in this invention is formed from an ultra-thin metal film will be explained.

Fig. 6A illustrates a structure of an organic light-emitting element in which a very thin film of,

for example, platinum (Pt) or gold (Au) is arranged on the R, G and B OLEDs in each pixel and an auxiliary electrode is placed on the metal ultra-thin film.

Fig. 6B is a cross section taken along the line B-B' of Fig. 6A. As shown in the figure, the use of the metal ultra-thin film about 5 nm thick offers an advantage of being able to reduce a possible damage the forming of the second transparent electrode may cause

to the lower organic layers, when compared with the construction in which the second transparent electrode on the light extraction side is used instead of the metal ultra-thin film electrode as is the case with other embodiments.

In this invention, the organic light-emitting element in each pixel produces white light which passes through the color filters formed on the counter substrate and goes out as single-color rays of red,

10 green and blue into the air layer.

The present invention is also effectively applied to other color producing systems in which a red organic light-emitting element, a green organic light-emitting element and a blue organic light-emitting

15 element are formed one in each pixel and single-color rays of red, green and blue are emitted out into the air layer. In this case, there is no need to form color filters on the counter substrate. It is desired that the distance d to the light extraction layer 313

20 meet the equation (1) for the center wavelength of the emission in each pixel.

# [Embodiment 6]

An example case in which the organic lightemitting display of this invention is applied to a

25 mobile phone will be described. The construction of
the organic light-emitting elements that form each
pixel of the organic light-emitting display is similar

to that of the embodiment 3. The number of pixels employed is  $160 \times 120$ .

A first transparent electrode line is
connected to an anode driver and a second transparent

5 electrode line to a cathode driver. A frame frequency
is set to 100 Hz. A data signal train is sent from the
anode driver to each line and at the same time the
cathode driver activates address signals successively
line by line. The on-state current value is set to 6

10 µA for each pixel. The address signal is set to 0 V
when it is turned on and 7.5 V when turned off. Hence,
when the address signal was on, the voltage between the
cathode and the anode was found to be 7.5 V and the
instantaneous brightness 1000 cd/m². When the address

15 signal was off, the cathode-anode voltage was 0 V and
no light was produced.

While in this embodiment the size of each RGB pixel is set to 200x100  $\mu\text{m}^2\text{,}$  other sizes may also be used.

20 As in the embodiment 3, the total quantity of light emitted from the organic light-emitting element has increased to 1.5 times that of the element of normal thickness. The provision of the auxiliary electrode reduces the resistance to less than 1/1000 that of the construction with only the second transparent electrode. This in turn reduces power consumption.

With this invention, when the light emitted

from the organic light-emitting layer in the organic layers goes out into the air layer with a refractive index of 1, the loss due to the total reflection can be reduced, thus improving the extraction efficiency of the light produced.

Further, the provision of the light extraction layer in the organic light-emitting element having a counter substrate and also in the organic light-emitting display can reduce the loss due to the total reflection that may occur when light produced inside is transmitted out into an air layer with a refractive index of 1. This in turn can improve the light extraction efficiency.

It should be further understood by those

skilled in the art that the foregoing description has been made on embodiments of the invention and that various changes and modifications may be made in the invention without departing from the spirit of the invention and the scope of the appended claims.

#### WHAT IS CLAIMED IS:

An organic light-emitting element comprising: at least a first electrode, an organic layer, a second electrode and a passivation layer, all formed on a substrate;

wherein a distance d from a light-emitting area in the organic layer to an air layer into which produced light enters satisfies an equation:

 $\mbox{d} \leq \lambda/4 \mbox{ ($\lambda$: center wavelength of emitted light).} \label{eq:light}$ 

- 2. An organic light-emitting element comprising: an organic electroluminescent substrate having at least a first electrode, an organic layer and a second transparent electrode formed on a substrate;
  - a counter substrate; and
- a light extraction layer between the organic electroluminescent substrate and the counter substrate.
- An organic light-emitting element comprising:

  an organic electroluminescent substrate

  having at least a first electrode, an organic layer and
  a second transparent electrode formed on a substrate;
  - a counter substrate; and
- a light extraction layer between the organic electroluminescent substrate and the counter substrate; wherein a total thickness d of a layer ranging from a light-emitting area in the organic layer to the second transparent electrode satisfies an equation:
  - d  $\leq \lambda/4$  ( $\lambda$ : center wavelength of emitted

light).

- - a counter substrate; and
- a light extraction layer and an auxiliary electrode for the second transparent electrode, both provided between the organic electroluminescent substrate and the counter substrate.
- An organic light-emitting element comprising:

  an organic electroluminescent substrate

  having at least a first electrode, an organic layer and
  a second transparent electrode formed on a substrate;
  - a counter substrate; and
- a light extraction layer and an auxiliary electrode for the second transparent electrode, both provided between the organic electroluminescent substrate and the counter substrate;

wherein a total thickness d of a layer ranging from a light-emitting area in the organic layer to the second transparent electrode satisfies an equation:

- $\mbox{d} \leq \lambda/4 \mbox{ ($\lambda$: center wavelength of emitted} \label{eq:light}$  light).
- 6. An organic light-emitting element according to claim 2, wherein a rib is provided between the organic electroluminescent substrate and the counter

substrate to control a thickness of the light extraction layer.

- 7. An organic light-emitting element according to claim 6, wherein the thickness of the light extraction layer is 50  $\mu m$  or more.
- 8. An organic light-emitting element according to claim 6, wherein the rib is formed on the counter substrate.
- 9. An organic light-emitting element according to claim 8, wherein the rib is formed from glass or optically cured resin.
- 10. An organic light-emitting element according to claim 8, wherein the rib is formed on a sealed portion of the organic electroluminescent substrate and the counter substrate.
- 11. An organic light-emitting element according to claim 2, wherein color filters are formed between the organic electroluminescent substrate and the counter substrate.
- 12. An organic light-emitting element according to claim 11, wherein the color filters are formed on the counter substrate.
- 13. An organic light-emitting element according to claim 2, wherein a moisture absorbing layer is provided on the counter substrate.
- 14. An organic light-emitting element according to claim 2, wherein a moisture absorbing layer is provided around a portion sealing the organic

electroluminescent substrate and the counter substrate.

- 15. An organic light-emitting element according to claim 4, wherein the auxiliary electrode is formed between pixels.
- 16. An organic light-emitting element according to claim 4, wherein the auxiliary electrode is formed between pixels formed on the counter substrate.
- 17. An organic light-emitting element according to claim 4, wherein the auxiliary electrode is formed on the second transparent electrode.
- 18. An organic light-emitting element according to claim 17, wherein a bonding layer is provided to bring the second transparent electrode and the auxiliary electrode into ohmic contact with each other.
- 19. An organic light-emitting element according to claim 1, wherein the second electrode is formed from a very thin metal film with a high transmissivity.
- 20. An organic light-emitting display using the organic light-emitting element of claim 1.
- 21. An organic light-emitting display according to claim 20, wherein the organic light-emitting element corresponds to each of red, green and blue in each pixel.
- An organic light-emitting display according to claim 20, wherein the second electrode of the organic light-emitting element is formed from a very thin metal film with a high transmissivity.

23. A mobile phone using the organic lightemitting display of claim 20 in a display portion.

## ABSTRACT OF THE DISCLOSURE

An organic light-emitting element having at least a first electrode, an organic layer, a second electrode and a passivation layer, all formed on a substrate; wherein a distance d from a light-emitting area in the organic layer to an air layer into which produced light is emitted satisfies an equation d  $\leq \lambda/4$  ( $\lambda$ : center wavelength of emitted light).

FIG.1A

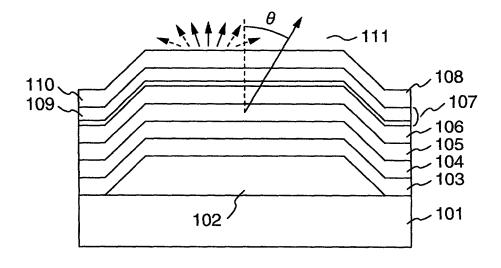
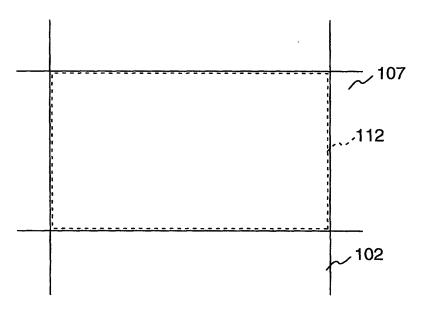
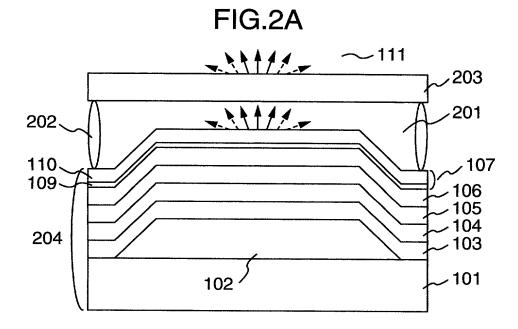
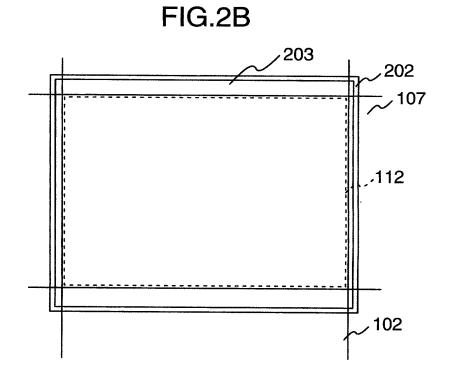


FIG.1B







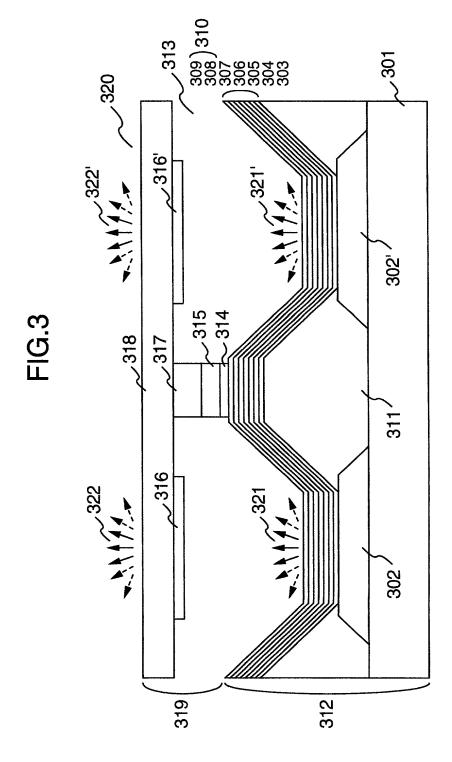


FIG.4

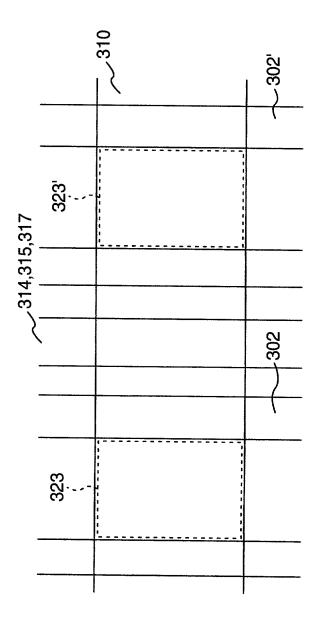


FIG.5A

G

R

AUXILIARY
ELECTRODE
INTERLAYER
INSULATING FILM

FIG.5B

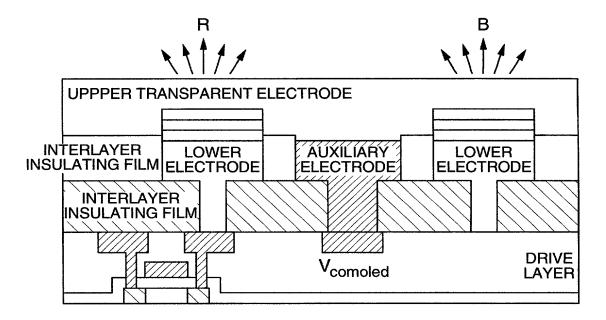


FIG.6A

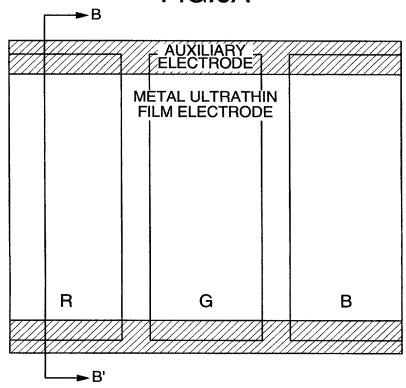
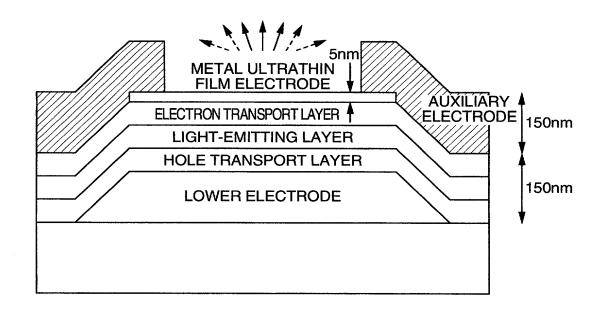


FIG.6B



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## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。	As a below named inventor, I hereby declare that:
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下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者(下記の氏名が一つの場合)もしくは最初かつ共同発明者であると(下記の名称が複数の場合)信じています。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
	ORGANIC LIGHT EMITTING ELEMENT AND
	DISPLAY DEVICE USING ORGANIC LIGHT
	EMITTING ELEMENT
上記発明の明細書(下記の欄で×印がついていない場合は、 本書に添付)は、	The specification of which is attached hereto unless the following box is checked:
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私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Page 1 of 4

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### Japanese Language Declaration

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Prior Foreign Application(s)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

外国での先行出願 優先権主張なし 29/August/2001 2001-259572 <u>Japan</u> (Day/Month/Year Filed) (Country) (Number) (出願年月日) (国名) (番号) П (Day/Month/Year Filed) (Number) (Country) (出願年月日) (国名) (番号)

私は、第35編米国法典119条 (e) 項に基いて下記の米国 特許出願規定に記載された権利をここに主張いたします。 I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)(Filing Date)(Application No.)(Filing Date)(出願番号)(出願日)(出願日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条 (c) に基ずく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

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(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況:特許許可済、係属中、放棄済)
(Application No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)
(出願番号)	(出願日)	(現況:特許許可済、係属中、放棄済)

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1(0100 ff)

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# Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。 (弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Donald R. Antonelli, Reg. No. 20,296; David T. Terry, Reg. No. 20,178; Melvin Kraus, Reg. No. 22,466; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28,577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiavelli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973 and Carl I. Brundidge, Reg. No. 29,621

書類送付先

Send Correspondence to:

Antonelli, Terry, Stout & Kraus, LLP Suite 1800

1300 North Seventeenth Street Arlington, Virginia 22209

直接電話連絡先: (氏名及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Telephone: (703) 312-6600 Fax: (703) 312-6666

唯一または第一発明者		Full name of sole or first inventor Shingo ISHIHARA
発明者の署名	日付	Inventor's signature Date Shingo Ushihara 02/12/2002
住所		Residence Hitachi, Japan
国籍		Citizenship Japan
私書箱		Post Office Address c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan

(第二以降の共同発明者についても同様に記載し、署名をする こと)

(Supply similar information and signature for second and subsequent joint inventors.)

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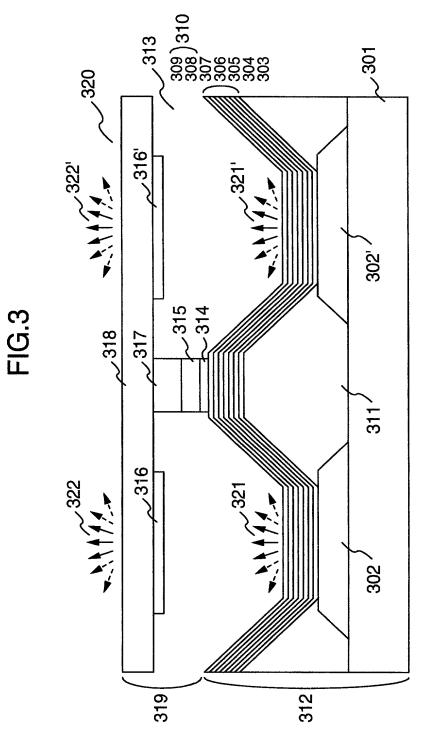
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-8220, Japan
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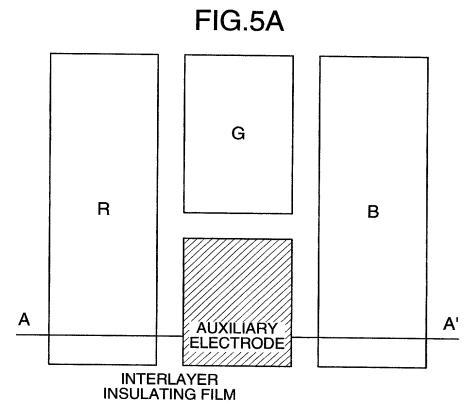


FIG.5B

